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January 6, 2005	Yuko Tanaka	<i>Y. Tanaka</i>	January 6, 2005
Date Mailed	Name	Signature	Date

Attorney's Docket No. 42P11429

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
Liu et al.) Examiner: Pritchett, Joshua L.
Application No. 09/881,218)
Filed: June 13, 2001)
For: METHOD AND APPARATUS FOR TUNING)
A BRAGG GRATING IN A)
SEMICONDUCTOR SUBSTRATE)

)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

A statement pursuant to 37 C.F.R. §1.97(e) or

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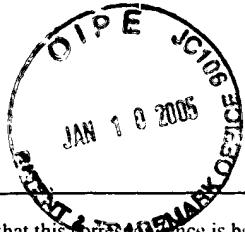
Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Jan. 6, 2005



Cory G. Claassen
Reg. No. 50,296

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(206) 292-8600



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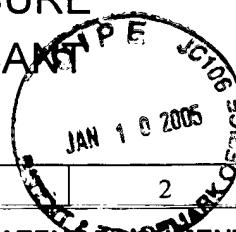


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Reg. No. 50,296

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(206) 292-8600

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)



Sheet	1	of	2	Attorney Docket Number	42P11429
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	1.	us-	5,195,161	03-16-1993	Adar et al.	
	2.	us-	5,247,528	09-21-1993	Shinozaki et al.	
	3.	us-	5,285,274	02-08-1994	Tanno et al.	
	4.	us-	5,315,437	05-24-1994	Alfano et al.	
	5.	us-	5,448,404	09-05-1995	Schrenk et al.	
	6.	us-	5,668,900	09-16-1997	Little et al.	
	7.	us-	5,751,466	05-12-1998	Dowling et al.	
	8.	us-	5,764,829	06-09-1998	Judkins et al.	
	9.	us-	5,796,902	08-18-1998	Bhat et al.	
	10.	us-	5,801,378	09-01-1998	Hane et al.	
	11.	us-	5,907,427	05-25-1999	Scalora et al.	
	12.	us-	6,075,908	06-13-2000	Paniccia et al.	
	13.	us-	6,233,381 B1	05-15-2001	Borrelli et al.	
	14.	us-	6,330,383 B1	12-11-2001	Cai et al.	
	15.	us-	6,343,167 B1	01-29-2002	Scalora et al.	
	16.	us-	6,459,533 B1	10-01-2002	Clapp et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature		Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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(use separate sheets as necessary)

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JAN 10 2005

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<i>Complete if Known</i>	
Application Number	09/881,218
Filing Date	June 13, 2001
First Named Inventor:	Liu et al.
Art Unit	2872
Examiner Name	Pritchett, Joshua L.
Attorney Docket Number	42P11429

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NON PATENT LITERATURE DOCUMENTS

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